

ALTERNATIVE TO PTO/SB/08a/b (07-05)

INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>			Complete if Known		
			Application Number	10/678,006	
			Filing Date	October 1, 2003	
			First Named Inventor	Giovanni COGLITORE	
			Art Unit	2835	
			Examiner Name	L. Lea-Edmonds	
Sheet	1	of	6	Attorney Docket Number	443452000103

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
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/LL-E/	25.	DE-3316978-A1	11-15-1984	Siemens AG	Translation of Abstract Only	

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NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
Examiner Signature	/Lisa Lea Edmonds/		Date Considered 07/09/2007

pa- 1057865

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/LL-E/	26.	Defendant Super Micro Computer, Inc.'s Preliminary Invalidity Contentions and Accompanying Document Production [Patent L.R. 3-3 and 3-4] in Case No. C 05-03561 PJH before the United States District Court Northern District of California San Francisco Division in <u>Rackable Systems, Inc. v. SuperMicro Computer, Inc.</u> , filed by DLA Piper Rudnick Gray Cary US, LLP - Attorneys for Defendant Super Micro Computer, Inc. on March 9, 2006, 126 pages and proof of service.
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			Examiner Name	L. Lea-Edmonds	
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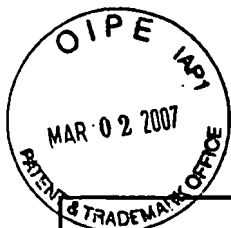
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				
	12.	JP-57-064998-A	04-20-1982	Nippon Telegraph & Telephone		
	13.	JP-57-068100-A	04-26-1982	Nippon Telegraph & Telephone		
	14.	JP-57-201099-A	12-09-1982	Fujitsu Ltd.		√
	15.	JP-61-287299-A	12-17-1986	Fujitsu Ltd.		
	16.	JP-03-214490-A	09-19-1991	Hitachi Ltd.	Translation of Abstract Only	
	17.	JP-04-275095-A	09-30-1992	Fujitsu Ltd.	Translation of Abstract Only	
	18.	JP-05-160592-A	06-26-1993	Tokyo Shibaura Electric Co.	Translation of Abstract	√
	19.	JP-07-506222-A	07-08-1995	Ericsson Telefon AB	Translation of Abstract Only	
	20.	JP-07-202464-A	08-04-1995	Tokyo Shibaura Electric Co.	Translation of Abstract Only	
	21.	JP-08-124375-A	05-17-1996	Hitachi Ltd.	Translation of Abstract Only	
	22.	JP-08-273345-A	10-18-1996	Hitachi Ltd.	Translation of Abstract Only	
	23.	JP-09-274791-A	10-21-1997	Hitachi Ltd.	Translation of Abstract Only	
	24.	JP-11-066836-A	03-09-1999	Hitachi Ltd. et al.	Translation of Abstract	√

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pa-1127173

Substitute for form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)			Complete if Known		
			Application Number	10/678,006	
			Filing Date	October 1, 2003	
			First Named Inventor	Giovanni COGLITORE	
			Art Unit	2835	
			Examiner Name	L. Lea-Edmonds	
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*EXAMINER: Initial if information considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials [*]	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	25.	Defendant SuperMicro Computer, Inc.'s Final Invalidity Contentions [Patent L.R. 3-3, 3-6, and 3-7] in Case No. C 05-03561 PJH before the United States District Court Northern District of California San Francisco Division in <u>Rackable Systems, Inc. v. SuperMicro Computer, Inc.</u> , filed by DLA Piper US LLP on December 1, 2006, 3 pages, Exhibit A (Long version, 502 pages), Exhibit A (Short version, 205 pages).	
	26.	Defendant SuperMicro Computer, Inc.'s Answer and Counterclaims to Complaint, Demand for Jury Trial, Certification of Interested Parties or Entities, Fed. R. Civ. P. 7.1 Disclosure Statement, Complaint Filed: September 2, 2005 in Case No. C05-03561 PJH before the United States District Court Northern District of California San Francisco Division in <u>Rackable Systems, Inc. v. SuperMicro Computer, Inc.</u> , filed by DLA Piper Rudnick Gray Cary US LLP on September 22, 2005, 11 pages.	
	27.	Rackable Systems, Inc.'s Reply to SuperMicro Computer, Inc.'s Counterclaims, Demand for Jury Trial in Case No. C 05-03561 PJH before the United States District Court Northern District of California San Francisco Division in <u>Rackable Systems, Inc. v. SuperMicro Computer, Inc.</u> , filed by Kirkland & Ellis LLP on October 12, 2005, 4 pages.	
	28.	Complaint for Patent Infringement, Demand for Jury Trial, Certification of Interested Parties or Entities, Fed. R. Civ. P. 7.1 Disclosure Statement in Case No. C05 03561 EMC before the United States District Court Northern District of California San Francisco Division in <u>Rackable Systems, Inc. v. SuperMicro Computer, Inc.</u> , filed by Kirkland & Ellis LLP on September 2, 2005, 8 pages.	
	29.	Joint Case Management Statement in Case No. C 05-03561 PJH before the United States District Court Northern District of California San Francisco Division in <u>Rackable Systems, Inc. v. SuperMicro Computer, Inc.</u> , filed by Kirkland & Ellis LLP on December 29, 2005, 9 pages.	
	30.	Stipulation and [Proposed] Order Granting Defendant and Counterclaimant SuperMicro Computer, Inc. Leave to Amend Answer and Counterclaims in Case No. C 05-03561 PJH before the United States District Court Northern District of California San Francisco Division in <u>Rackable Systems, Inc. v. SuperMicro Computer, Inc.</u> , filed by DLA Piper US LLP on November 22, 2006, 3 pages.	
	31.	Defendant SuperMicro Computer, Inc.'s First Amended Answer and Counterclaims to Complaint, Demand for Jury Trial, Complaint Filed: September 2, 2005 in Case No. C05-03561 PJH before the United States District Court Northern District of California San Francisco Division in <u>Rackable Systems, Inc. v. SuperMicro Computer, Inc.</u> , filed by DLA Piper US LLP on November 28, 2006, 12 pages.	
	32.	Defendant SuperMicro Computer, Inc.'s Response to Rackable Systems, Inc.'s First Set of Interrogatories in Case No. C 05-03561 PJH before the United States District Court Northern District of California San Francisco Division in <u>Rackable Systems, Inc. v. SuperMicro</u>	

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		<u>Computer, Inc.</u> , filed by DLA Piper Rudnick Gray Cary US LLP on January 13, 2006, 10 pages, Proof of Service, and Verification dated January 25, 2006.	
	33.	Defendant SuperMicro Computer, Inc.'s Response to Rackable Systems, Inc.'s Second Set of Interrogatories in Case No. C 05-03561 PJH before the United States District Court Northern District of California San Francisco Division in <u>Rackable Systems, Inc. v. SuperMicro Computer, Inc.</u> , filed by DLA Piper Rudnick Gray Cary US LLP on February 16, 2006, 4 pages and Proof of Service.	
	34.	Defendant SuperMicro Computer, Inc.'s Supplemental Response to Rackable Systems, Inc.'s First Set of Interrogatories (Nos. 1, 2, 3, 4, 6, 8) in Case No. C 05-03561 PJH before the United States District Court Northern District of California San Francisco Division in <u>Rackable Systems, Inc. v. SuperMicro Computer, Inc.</u> , filed by DLA Piper Rudnick Gray Cary US LLP on May 26, 2006, 16 pages, Verification, and Proof of Service.	
	35.	Defendant SuperMicro Computer, Inc.'s Supplemental Response to Rackable Systems, Inc.'s Second Set of Interrogatories in Case No. C 05-03561 PJH before the United States District Court Northern District of California San Francisco Division in <u>Rackable Systems, Inc. v. SuperMicro Computer, Inc.</u> , filed by DLA Piper Rudnick Gray Cary US LLP on May 26, 2006, 4 pages, Verification, and Proof of Service.	
	36.	Defendant SuperMicro Computer, Inc.'s Second Supplemental Response to Rackable Systems, Inc.'s First Set of Interrogatories (No. 8) in Case No. C 05-03561 PJH before the United States District Court Northern District of California San Francisco Division in <u>Rackable Systems, Inc. v. SuperMicro Computer, Inc.</u> , filed by DLA Piper Rudnick Gray Cary US LLP on July 27, 2006, 55 pages, Verification, and Proof of Service.	
	37.	Defendant SuperMicro Computer, Inc.'s Third Supplemental Response to Rackable Systems, Inc. First Set of Interrogatories (Nos. 1-3, 5-7) in Case No. C05-03561 PJH before the United States District Court Northern District of California San Francisco Division in <u>Rackable Systems, Inc. v. SuperMicro Computer, Inc.</u> , filed by DLA Piper US LLP on September 13, 2006, 17 pages, Verification, and Proof of Service.	
	38.	Defendant SuperMicro Computer, Inc.'s Fourth Supplemental Response to Rackable Systems, Inc.'s First Set of Interrogatories (No. 8) in Case No. C 05-03561 PJH before the United States District Court Northern District of California San Francisco Division in <u>Rackable Systems, Inc. v. SuperMicro Computer, Inc.</u> , filed by DLA Piper Rudnick Gray Cary US LLP on September 29, 2006, 163 pages and Proof of Service.	
	39.	Defendant SuperMicro Computer, Inc.'s Fifth Supplemental Response to Rackable Systems, Inc.'s First Set of Interrogatories (Nos. 1, 3, 5, 6, 7) in Case No. C 05-03561 PJH before the United States District Court Northern District of California San Francisco Division in <u>Rackable Systems, Inc. v. Super Micro Computer, Inc.</u> , filed by DLA Piper US LLP on October 20, 2006, 19 pages, Verification, and Proof of Service.	
	40.	Plaintiff Rackable Systems, Inc.'s Response to Defendant SuperMicro Computer, Inc.'s First Set of Interrogatories in Case No. C 05-03561 PJH before the United States District Court Northern District of California San Francisco Division in <u>Rackable Systems, Inc. v. Super Micro Computer, Inc.</u> , filed by Kirkland & Ellis LLP on January 12, 2006, 12 pages, Verification dated January 11, 2006, and Certificate of Service.	
	41.	Patent Local Rule 4-3 Joint Claim Construction and Prehearing Statement in Case No. C 05-03561 PJH before the United States District Court Northern District of California San Francisco Division in <u>Rackable Systems, Inc. v. Super Micro Computer, Inc.</u> , filed by DLA Piper Rudnick Gray Cary US LLP and Kirkland & Ellis LLP on May 24, 2006, 6 pages, Attestation Pursuant to General Order 45, Exhibits A-G (115 pages).	
	42.	Plaintiff Rackable Systems, Inc. Opening Claim Construction Brief in Case No. C 05-03561	

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		PJH before the United States District Court Northern District of California San Francisco Division in <u>Rackable Systems, Inc. v. Super Micro Computer, Inc.</u> , filed by Kirkland & Ellis LLP on June 21, 2006, 25 pages.	
43.		Declaration of Benjamin R. Ostapuk in Support of Plaintiff Rackable Systems, Inc.'s Opening Claim Construction Brief in Case No. C 05-03561 PJH before the United States District Court Northern District of California San Francisco Division in <u>Rackable Systems, Inc. v. Super Micro Computer, Inc.</u> , filed by Kirkland & Ellis LLP on June 21, 2006, 5 pages, Exhibits A-ZE (172 pages).	
44.		Defendant SuperMicro Computer, Inc.'s Responsive Claim Construction Brief in Case No. C 05-03561 PJH before the United States District Court Northern District of California San Francisco Division in <u>Rackable Systems, Inc. v. Super Micro Computer, Inc.</u> , filed by DLA Piper Rudnick Gray Cary US LLP on July 5, 2006, 29 pages, Attachment 1 (3 pages).	
45.		Declaration of Brent Yamashita in Support of Defendant SuperMicro, Inc.'s Responsive Claim Construction Brief in Case No. C 05-03561 PJH before the United States District Court Northern District of California San Francisco Division in <u>Rackable Systems, Inc. v. Super Micro Computer, Inc.</u> , filed by DLA Piper Rudnick Gray Cary US LLP on July 5, 2006, Exhibits A-H (70 pages).	
46.		Declaration of Expert Witness Samuel Wood in Support of Defendant SuperMicro, Inc.'s Responsive Claim Construction Brief in Case No. C 05-03561 PJH before the United States District Court Northern District of California San Francisco Division in <u>Rackable Systems, Inc. v. Super Micro Computer, Inc.</u> , filed by DLA Piper Rudnick Gray Cary US LLP on July 1, 2006, 8 pages, Exhibit 1 (5 pages).	
47.		Defendant SuperMicro Computer, Inc.'s [Proposed] Order on Claim Construction in Case No. C 05-03561 before the United States District Court Northern District of California San Francisco Division in <u>Rackable Systems, Inc. v. Super Micro Computer, Inc.</u> , filed by DLA Piper Rudnick Gray Cary US LLP on July 5, 2006, 4 pages.	
48.		Plaintiff Rackable Systems, Inc. Reply Claim Construction Brief in Case No. C 05-03561 PJH before the United States District Court Northern District of California San Francisco Division in <u>Rackable Systems, Inc. v. Super Micro Computer, Inc.</u> , filed by Kirkland & Ellis LLP on July 17, 2006, 15 pages, Attachment A (39 pages).	
49.		Declaration of Benjamin R. Ostapuk in Support of Plaintiff Rackable Systems, Inc.'s Reply Claim Construction Brief in Case No. C 05-03561 PJH before the United States District Court Northern District of California San Francisco Division in <u>Rackable Systems, Inc. v. Super Micro Computer, Inc.</u> , filed by Kirkland & Ellis LLP on July 17, 2006, 2 pages, Exhibits A-B (20 pages).	
50.		Declaration of Robert Stillerman in Case No. 05-03561 (PJH) before the United States District Court Northern California District in <u>Rackable Systems, Inc. v. Super Micro Computer, Inc.</u> , executed on July 17, 2006, 16 pages, Exhibits A-F (36 pages).	
51.		Civil Minutes in Case No. C-05-3561 PJH before the United States District Court Northern California District in <u>Rackable Systems, Inc. v. Super Micro Computer, Inc.</u> , dated September 8, 2006, 1 page.	
52.		Civil Minutes in Case No. C-05-3561 PJH before the United States District Court Northern California District in <u>Rackable Systems, Inc. v. Super Micro Computer, Inc.</u> , dated October 4, 2006, 1 page.	
53.		Civil Minutes in Case No. C-05-3561 PJH before the United States District Court Northern California District in <u>Rackable Systems, Inc. v. Super Micro Computer, Inc.</u> , dated November 16, 2006, 1 page.	
54.		Joint Case Management Conference Statement in Case No. C 05-03561 (PJH (WDB) before	

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		the United States District Court Northern District of California San Francisco Division in <u>Rackable Systems, Inc. v. Super Micro Computer, Inc.</u> , filed by Kirkland & Ellis LLP and DLA Piper US LLP on November 9, 2006, 8 pages and Attestation Pursuant to General Order 45.	
55.		Anthem Electronics et al. (April 6, 1998). "What the Extra Quality Initiatives in Our New 6.4 GB EIDE Hard Drives Look Like," in <i>Computer Reseller News (For Providers of Technology and Information Solutions)</i> , Vol. 783, 1 page advertisement.	
56.		Cabletron Systems, Inc. (April 5, 1999). "Juggling Those Tricky Apps? Smart Networks from Cabletron," in <i>Computer Reseller News (For Providers of Technology and Information Solutions)</i> , Vol. 836, 1 page advertisement.	
57.		Hewlett Packard Company. (March 22, 1999). "Improve Your Profit Curve: HP ProCurve 9308M," in <i>Computer Reseller News (For Providers of Technology and Information Solutions)</i> , Vol. 834, 1 page advertisement.	
58.		Siemens Industrial Automation, Inc. (September 1994). "It's a Natural Fit: SIMATIC T1575 - The Perfect Integration Platform," in <i>Computer Design-Technology and Design Directions (For Electronic Engineers & Engineering Managers)</i> , 1 page advertisement.	
59.		SKY Computers, Inc. (September 1994). "Announcing SKYsystem- 20GFLOPS, Right Out of the Box," in <i>Computer Design-Technology and Design Directions (For Electronic Engineers & Engineering Managers)</i> , 1 page advertisement.	
60.		Tech Data, Inc. (April 5, 1999). "Technology Products and Services Worldwide," in <i>Computer Reseller News (For Providers of Technology and Information Solutions)</i> , Vol. 836, 1 page advertisement.	
61.		Westcon, Inc. (April 6, 1998). "Fast Track: Westcon Speeds You into the Profit Lane with 3Com's Broadband Access Products: (AccessBuilder 6100, SuperStackII Access Builder 9300/9100, Access Builder 9600)" in <i>Computer Reseller News (For Providers of Technology and Information Solutions)</i> , Vol. 783, 1 page advertisement.	
62.		Westcon, Inc. (March 22, 1999). "Happy New Y...2K!: Trade in Any of the Old for the new Y2K- only from Bay Networks and Westcon," in <i>Computer Reseller News (For Providers of Technology and Information Solutions)</i> , Vol. 834, 1 page advertisement.	
63.		3Com Corporation (1996). "SuperStack™ II NETBuilder® Ethernet Bridge/Router Installation Guide," (Models 200, 222, 224, 227, 228, 422, 424, and 427), 98 pages.	
64.		3Com Corporation (1996). "SuperStack™ II NETBuilder® Ethernet Installation Guide," (Models 200, 222, 224, 227, 228, 422, 424, and 427), 97 pages.	
65.		3Com Corporation (August 1996). "NETBuilder® Family Bridge/Router Release Notes - (Software Version 9.1)," update pages for the Operation Guide, Reference Guide, Commands Quick Reference Guide, SuperStack II NETBuilder Ethernet Installation Guide, and SuperStack II NETBuilder Token Ring Installation Guide, 123 pages.	
66.		3Com Corporation. (2003). "NETBuilder II HSS 8 Port BRI ST Module," located at < http://www.all3com.com/carl/3c6046.html > lastvisited on May 9, 2003 (1 page).	
67.		3Com Corporation. (1996). "NETBuilder Family Bridge/Router Commands Quick Reference Guide," (Software Version 9.1), 224 pages.	
68.		3Com Corporation. (2003). "NETBuilder II HSS 4 Port WAN Module," located at < http://www.all3com.com/carl/3c6047.html > last visited on May 9, 2003 (1 page).	
69.		3Com Corporation. (August 1997). "Installing the NETBuilder II Dual Processor Engine Module: DPE40 and DPE Plus," 4 pages.	
70.		3Com Corporation. (Date Unknown). "NETBuilder Family Bridge/Router Easy Step WAN Configurations," (Software Version 8.1), 14 pages.	
71.		3Com Corporation. (Date Unknown). "NETBuilder Family Bridge/Router Easy Step Configuration Map," 7 pages.	

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72.	3Com Corporation. (Date Unknown). "NETBuilder Family Bridge/Router Easy Step WAN Configurations," (Software Version 9.1), 14 pages.	
73.	3Com Corporation. (February 1994). "NETBuilder II HSS V.35 3-Port Module Installation Guide," 32 pages.	
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75.	3Com Corporation. (June 1998). "NETBuilder II Intelligent Routers," pp. 1-8.	
76.	3Com Corporation. (May 1997). "Installing the NETBuilder II Bridge/Router: For EZ Built Systems and Base Chassis," 82 pages.	
77.	3Com Corporation. (September 1999). "4-Port WAN Module Release Notes. Part No. 86-0613-008," 2 pages.	
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82.	Cabletron Systems, Inc. (May 1999). "Bay Networks Accelar - Supports Management Module SM-BAY1002," in Spectrum Enterprise Manager, Device Management. 72 pages.	
83.	Cisco Systems, Inc. "Cisco 2509, 2510, 2511, and 2512," located at < http://www.cisco.com/en/US/products/hw/iad/ps492/products_installation_and_configuration_guide_book09186a008007c... > last visited on September 14, 2006 (1 page).	
84.	Cisco Systems, Inc. "Cisco AS5200 Universal Access Server Software Configuration Guide," located at < http://www.cisco.com/en/US/products/hw/iad/ps497/products_configuration_guide_book09186a008007c... > last visited on September 14, 2006 (145 pages).	
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86.	Cisco Systems, Inc. (1992-2005). "Cisco 2500 Series Overview - System Specifications, Memory Configurations," 8 pages.	
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89.	Cisco Systems, Inc. (1996). "Debug Command Reference: Cisco IOS Release 11.2 (Cisco Internetwork Operating System Release 11.2)," 422 pages.	
90.	Cisco Systems, Inc. (1996). "Regulatory Compliance and Safety Information - Product Number: AS5200," 58 pages.	
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		Server V.110 TA 12-Port Modules," pp. 1-4.	
95.		Cisco Systems, Inc. (1997). "Installing 56K 12-Port Modules in Cisco AS5200 Universal Access Servers," pp. 1-36.	
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106.		Cisco Systems, Inc. (1998-1999). "Cisco AS5200 Universal Access Server Install and Configure," Quick Start Guide, pp. 1-17.	
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108.		Cisco Systems, Inc. (April 9, 2001). "Release Notes for Cisco AS5200 Universal Access Servers for Cisco IOS Release 11.2," pp. 1-22.	
109.		Cisco Systems, Inc. (Date Unknown). "About This Manual," <u>Cisco 2500 Series Hardware Installation and Maintenance</u> , 100 pages.	
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112.		Cisco Systems, Inc. (Date Unknown). "Cisco 2500 Series Access Server User Guide," 174 pages.	
113.		Cisco Systems, Inc. (1997). "Installing Cisco AC and DC Power Supplies in Cisco 2500 Series Routers," pp. 1-12.	
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			Application Number	10/678,006	
			Filing Date	October 1, 2003	
			First Named Inventor	Giovanni COGLITORE	
			Art Unit	2835	
			Examiner Name	L. Lea-Edmonds	
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			Examiner Name	L. Lea-Edmonds	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English language Translation is attached.

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